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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/644,718	08/20/2003	Yi-Hsun Wu	N1085-00191	6119
54657 7590 02/06/2008 DUANE MORRIS LLP IP DEPARTMENT (TSMC) 30 SOUTH 17TH STREET PHILADELPHIA, PA 19103-4196			EXAMINER NGUYEN, DANNY	
			ART UNIT 2836	PAPER NUMBER
			MAIL DATE 02/06/2008	DELIVERY MODE PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

## Office Action Summary

**Application No.**

10/644,718

**Applicant(s)**

WU ET AL.

**Examiner**

DANNY NGUYEN

**Art Unit**

2836

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 03 January 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 13-21 and 23-28 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 13, 15-21 and 23-28 is/are rejected.
- 7) ☒ Claim(s) 14 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Response to Arguments***

1. Applicant's arguments filed 1/3/2008 with respect to claims 13, and 23 have been considered and are persuasive. Therefore, the finality of the rejections filed 11/01/2007 is withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of Anderson (USPN 6,268,993).

Claims 1-4, 7, and 12 are cancelled.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 13, 15-20, 23-28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lien et al (USPN 6,069,782) in view of Smith (USPN 6,775,112), and Anderson (USPN 6,268,993).

Regarding claim 13, Lien discloses a circuit (figure 2b) for ESD protection comprises

an ESD circuit having a MOS transistor (124) with a gate terminal, wherein the transistor is configured to discharge an ESD pulse,

a sensor (125) that senses an ESD pulse and generates a high state voltage at an output terminal in response to the ESD pulse, and

an inverter (such as inverter 123) coupled to the output terminal of the sensor and the ESD circuit, wherein the sensor applied the high state voltage to an input terminal of the inverter (see col. 7, lines 5-53).

Lien does not disclose the stack of cascaded transistor as claimed.

Smith discloses an ESD protection circuit (see figures 3 and 4) comprises an ESD shunting device (330) comprises cascaded NMOS transistors (see figure 4).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to have modified the ESD protection circuit of Lien to incorporate the cascaded NMOS shunting circuit as disclosed by Smith in order to provide efficient ESD protection.

Lien and Smith do not disclose the ESD circuit having stacked NMOS transistors as claimed.

However, providing an ESD circuit having a stacked NMOS is known in the art, and typically taught by Anderson, and further using the stacked NMOS transistor can avoid problems often associated with a single NMOS transistor such as hot carrier degradation and time dependent dielectric breakdown.

Anderson discloses an ESD protection circuit (figure 2) comprises an ESD protection circuit (28) configured to discharge an ESD pulse having a tack of cascaded NMOS transistors (a stack of cascaded NMOS transistors P1, P2 see abstract, col.5, lines 9-11). It would have been obvious to one of ordinary skill in the art at the time the invention was made to have modified the ESD circuit of Lien and Smith to incorporate

the stack of cascaded transistors as disclosed by Anderson in order to prevent hot carrier degradation and time dependent dielectric breakdown.

Regarding claims 15, 16, Lien discloses the sensor (125) for electrostatic discharge protection comprises a voltage drop circuit (series diodes 122-1 to 122-5) coupled to an input terminal (101) of the sensor, wherein a voltage drop occurs across the voltage drop circuit and the high state voltage is generated at an output terminal (126) of the sensor when the input terminal of the sensor is coupled to an ESD voltage pulse (ESD voltage pulse on terminal 101), and a device (such as 121) coupled to the voltage drop circuit, wherein the device is adapted to maintain the high state voltage at the output terminal of the sensor, while the input terminal of the sensor is coupled to the ESD voltage pulse (col. 7, lines 5-53).

Regarding claims 17, and 18, Lien discloses the voltage drop circuit is a series of diodes (122-1 to 122-5).

Regarding claims 19, 20, Lien discloses the device comprises a NMOS transistor (121) (see col. 7, lines 5-6).

Regarding claims 23, 24, 27, Lien discloses a method for ESD protection comprises

sensing an ESD pulse (the ESD pulse is sensed by circuit 125), and  
pulling down a gate terminal of a MOS transistor (124) of an ESD circuit to a low state when the ESD pulse is sensed, wherein the transistor is configured to discharge the ESD pulse (as the ESD is detected, the transistor 222 turn on to pull the gate of the transistor 124 to a low state voltage (col. 7, lines 5-53).

Lien does not disclose stack of cascaded transistors as claimed.

Smith discloses an ESD protection circuit (see figures 3 and 4) comprises an ESD shunting device (330) comprises cascaded NMOS transistors (see figure 4).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to have modified the ESD protection circuit of Lien to incorporate the cascaded NMOS shunting circuit as disclosed by Smith in order to provide efficient ESD protection.

Lien and Smith do not disclose the ESD circuit having stacked NMOS transistors as claimed.

However, providing an ESD circuit having a stacked NMOS is known in the art, and typically taught by Anderson, and further using the stacked NMOS transistor can avoid problems often associated with a single NMOS transistor such as hot carrier degradation and time dependent dielectric breakdown.

Anderson discloses an ESD protection circuit (figure 2) comprises an ESD protection circuit (28) configured to discharge an ESD pulse having a tack of cascaded NMOS transistors (a stack of cascaded NMOS transistors P1, P2 see abstract, col.5, lines 9-11). It would have been obvious to one of ordinary skill in the art at the time the invention was made to have modified the ESD circuit of Lien and Smith to incorporate the stack of cascaded transistors as disclosed by Anderson in order to prevent hot carrier degradation and time dependent dielectric breakdown.

Regarding claims 25, 26 Lien discloses connecting the sensor to a voltage supply terminal (Vcc) and generating a high state voltage at the output terminal when

the ESD pulse is sensed.

Regarding claim 28 Lien discloses connecting the output terminal of the inverter (123) to the input of the ESD protection circuit (124).

3. Claims 21 rejected under 35 U.S.C. 103(a) as being unpatentable over Lien et al (USPN 6,069,782) in view of Smith, Anderson, and Dungan et al (USPN 5,311,391). Lien, Smith, and Anderson disclose all limitations of claim 13 as discussed above, but do not disclose a gate and a drain of the NMOS transistor as claimed. Dungan discloses an ESD protection circuit (figure 2) comprises a gate and a drain of a NMOS transistor (NMOS transistor 51f) are common. It would have been obvious to one of ordinary skill in the art at the time the invention was made to have modified the device of Lien , Smith, and Anderson to incorporate the NMOS transistor as disclosed by Dungan in order to minimize leakage in the circuit (col. 1, lines 65-68).

#### ***Allowable Subject Matter***

4. Claim 14 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

#### ***Conclusion***


5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to DANNY NGUYEN whose telephone number is (571)272-2054. The examiner can normally be reached on 8:00-4:30 M-F.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, MICHAEL SHERRY can be reached on 571-272-2084. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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